

07/30/01

J1059 U.S. PTO

J1002 U.S. PTO
09/916316
07/30/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 10974

Total Pages

First Named Inventor or Application Identifier

Minoru WAKI, Na ki OKAMOTO and Kazuaki WATANABE

Express Mail Label No.

Check Box, if applicable [] Duplicate

APPLICATION ELEMENTS FOR:
WATER-BASED INK COMPOSITION FOR INK-JET PRINTING, INK-JET PRINTING METHOD USING THE SAME AND PRINTED MATTER

ADDRESS TO: Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [34]
3. ☐ Drawing(s) (35 USC 113) Total Sheets []
4. ☒ Oath or Declaration Total Pages [2]
 - ☒ Newly executed (original)
 - ☐ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s)) to: Mikuni Shikiso Kabushiki Kaisha, Himeji-shi, Japan
Seiko Epson Corporation, Suwa-shi, Japan
Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

010974

First Named Inventor or Application Identifier

Minoru WAKI, Naoki OKAMOTO and Kazuaki WATANABE

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy(ies) of Priority Document(s)
16. a. Priority of Japanese application No. 2000-233578 filed on August 1, 2000 is claimed under 35 USC 119.
17. ☐ Other _____
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
19. ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

| FILE TRANSMITTAL | Number Filed | Number Extra | Rate | Basic . . . Fee |
|---------------------------------------|--------------|--------------|-----------|--------------------|
| The filing fee is calculated below | | | | \$710.00 |
| Total Claims | 11 - 20 | | x \$18.00 | |
| Independent Claims | 1 - 3 | | x \$80.00 | |
| Multiple Dependent Claims | | | \$270.00 | |
| Basic Filing Fee | | | | \$710.00 |
| Reduction by 1/2 for small entity | | | | |
| Fee for recording enclosed Assignment | | | \$40.00 | \$40.00 |
| TOTAL | | | | \$750.00 |

| | | |
|---|---|--------|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. | 010974 |
| | First Named Inventor or Application Identifier | |
| | Minoru WAKI, Naoki OKAMOTO and Kazuaki WATANABE | |
| | PAGE 3 OF 3 | |

☒ A check in the amount of \$750.00 is enclosed to cover the filing fee of \$710.00 and the assignment recordation fee of \$40.00.

☐ Please charge our Deposit Account No. 01-2340 in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340. A duplicate of this sheet is attached.

CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

| | |
|-----------------------|---------------------|
| SUBMITTED BY | |
| Typed or Printed Name | Stephen G. Adrian |
| Reg. No. | 32,878 |
| Signature | Date: July 30, 2001 |

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